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PATENT TRADEMARK OFFICE

PATENT

Docket No. 27211/04031 (OU00120)

UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Dill, et al.

Serial No.: 09/835,727

Art Unit: 2631

Filed: April 16, 2001

Examiner:

For: APPARATUS AND METHOD OF CTCM
ENCODING AND DECODING FOR A
DIGITAL COMMUNICATION SYSTEM

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NOV 25 2002

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Assistant Commissioner of Patents
Washington, D.C. 20231

Technology Center 2600

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____

Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- ☐ (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- ☐ (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:

- ☐ (a) Within 3 months of the filing date or date of entry into the National Stage.

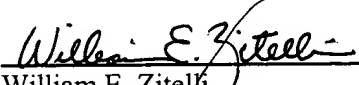
- ☒ (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172 for consideration of this Statement.
- ☐ (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- ☐ (1) The required certification is given below, or
- ☐ (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172.
- ☐ (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- ☐ (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 03-0172.

4. Certification (if applicable)

- ☒ (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- ☐ (b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 03-0172.

Respectfully submitted,


William E. Zitelli
Attorney for Applicants
Reg. No. 28,551

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below as first class mail in an envelope addressed to: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, on the date signed below

November 14, 2012
Date of Deposit

By: 

Subst. Form PTO-1449

Atty. Docket No.: 27211/04031

Serial No.: 09/835,727

APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Dill, et al.

Filing Date: April 16, 2001

Group:

U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA			RECEIVED NOV 25 2002 Technology Center 2600			
	AB						
	AC						
	AD						
						RECEIVED NOV 21 2002 Technology Center 2100	

FOREIGN PATENT DOCUMENTS

	AK	Document No.	Date	Country	Class	Subcl.	Translation?
	AL						

OTHER PRIOR ART

	AM	Yung-Cheng Lo, et al. "High Dimensional Circular Trellis-Coded Modulation", Signals, Systems & Computers, IEEE Computer Society Conf., USA 2-5 Nov. 1997, pp. 560-564.
	AN	Alder F. A. et al.: "Simplex Symbol Assignment In Circular Trellis-Coded Modulation", Military Communications Conference Proceedings, Milcom 1999, US, 31 Oct, 1999, pp. 311-315.
	AO	Anderson J. B. et al., "Tailbiting Map Decoders" IEEE Journal on Selected Areas in Communications, vol. 16, no. 2, 1 Feb 1998, pp. 297-302.
	AP	J.E. Curry, A. R. Lindsey, J. C. Dill, S. Lopez-Permouth: "A Group Theoretic Description of Circular Trellis Coded Modulation" Proceedings of SPIE, vol. 4395, 17-18 April 2001, pp. 47-56.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.